

RURAL	*
URBAN	

GOVERNMENT OF INDIA
NATIONAL SAMPLE SURVEY ORGANISATION
SOCIO-ECONOMIC SURVEY
FIFTY SIXTH ROUND (JULY 2000 - JUNE 2001)
SCHEDULE 2.2: UNORGANISED MANUFACTURING

CENTRAL	*
STATE	

[0] descriptive identification of sample enterprise	
1. state / u.t.:	6. ward /inv. unit / UFS block:
2. district:	7. name of owner:
3. tehsil / town*	8. name of informant:
4. village name:	9. name and address of the enterprise:
5. serial no. of hamlet:	

[1] identification of sample enterprise / establishment						
item no.	Item	code		item no.	item	code
1.	round number	5	6	12.	serial no. of sample village / block	
2.	schedule number	2	2	13.	segment number (1 / 2)	
3.	sample (central-1, state-2)			14.	enterprise type (OAME-1, NDME -2, DME - 3)	
4.	sector (rural - 1, urban - 2)					
5.	state – region			15.	sample enterprise no.	
6.	district code			16.	informant code	
7.	stratum no.			17.	response code	
8.	sub-stratum			18.	survey code	
9.	sub – round			19.	reason for substitution of original enterprise (code)	
10.	sub – sample					
11.	FOD sub-region					

CODES FOR BLOCK 1

item 16 : **informant code** : owner/partner – 1, manager – 2, others – 9

item 17 : **response code** (to be filled up after filling up the entire schedule):

informant : co-operative and capable - 1, co-operative but not capable - 2, busy - 3,
reluctant - 4, others – 9

item 18 : **survey code**: original enterprise surveyed - 1, substitute surveyed - 2, casualty – 3

item 19 : **reason for substitution of original enterprise**: informant : busy – 1, not available – 2,
non - cooperative – 3, others – 9

* Tick mark (✓) may be put in appropriate place.

CODES FOR BLOCK 2

item 3 : type of ownership			
proprietary (male)	1	co-operative society.....	5
proprietary(female)	2	limited company (outside public sector).....	6
partnership with members of the same household	3	others.....	9
partnership between members not all from the same household	4		
Item 5 : location of the enterprise			
<u>within</u> household premises	1		
<i>Outside household premises:</i>			
with fixed premises and with permanent structure	2	with fixed premises but without any structure	4
with fixed premises and with temporary structure/kiosk/stall	3	mobile market	5
		without fixed premises(street vendors, etc.)	6
items 7 to 9: act/ authority of registration			
municipal corporation, panchayat, local body.....	1	state directorate of industries (including district industries centre).....	6
khadi and village industries commission...	2	development commissioner, handicrafts/ handlooms/ power-looms.....	7
coir board.....	3	section 85 of factories act	8
silk board.....	4	other (please specify).....	9
jute commissioner.....	5		
items 10 to 13 : source/destination agency			
government	1	private individual / household	5
co-operative/ marketing society	2	no source agency (for items 10-11 only)	6
private enterprise	3	others	9
contractor / middleman	4		
item 19: any other problem			
lack of other infrastructure facilities.....	01	fuel not available or available at exorbitant price ..	07
local problems.....	02	non- recovery of service charges / fees/ credit	08
harassment	03	others (<i>specify in the space provided</i>)	09
competition from larger units	04		
non-availability of labour	05	no specific problem	10
labour problems	06		
item 21: type of contract			
working solely for enterprise/contractor.....	1	mainly for customers but also on contract.....	3
mainly on contract but also for other customers.....	2	solely for customers	4
items 22 & 23: equipment / raw materials supplied by			
self-procured	1	both	3
supplied by the master unit/contractor	2		
items 25 to 27: types of assistance received			
financial loan	1	marketing	5
subsidy.....	2	procurement of raw material.....	6
machinery/ equipment.....	3	others	7
training	4	no assistance received from any source.....	9

[2] particulars of operation and background information								
1.	description of activity:							
	5-digit code as per NIC 1998							
2.	nature of operation (perennial – 1, seasonal – 2, casual – 3)							
3.	type of ownership (code)							
4.	whether accounts maintained? (yes - 1, no - 2)							
5.	location of the enterprise (code)							
6.	registered under any act/ authority? (yes - 1, no - 2)							
7.	if 'yes' in item 6 registered under (code)							
8.	(codes for a maximum of three registration agencies may be given)							
9.	if code is 9, please specify the registration agency:							
10.	source agency for purchase of basic inputs (code)							
11.	(codes for maximum of two agencies in descending order of purchase value may be given)							
12.	destination agency for sale of final product/service (code)							
13.	(codes for maximum of two agencies in descending order of sale value may be given)							
14.	nature of problems faced, if any, during the last 365 days	non-availability of electricity connection (yes – 1, no – 2)						
15.		power-cut (yes – 1, no – 2)						
16.		shortage of capital (yes – 1, no – 2)						
17.		non-availability of raw materials (yes–1, no – 2)						
18.		marketing of product (yes – 1, no – 2)						
19.		any other problem (code)						
20.	does the enterprise undertake any work on contract basis? (yes – 1, no – 2)							
21.	if 'yes' in item 20	type of contract (code)						
22.		equipment supplied by (code)						
23.		raw materials supplied by (code)						
24.		design specified by contractor? (yes –1, no – 2)						
25.	types of assistance received during the last five years (code)							
26.	(maximum of three codes may be given in descending order of the importance of assistance)							
27.								
28.	whether mixed activity? (yes – 1, no – 2)							
29.	number of other economic activities undertaken during last 365 days							
30.	status of the enterprise over the last 3 years (expanding – 1, stagnant – 2, contracting – 3, operated for less than 3 years - 9)							
31.	number of months (in whole number) operated during the last 365 days							

[3] principal operating expenses during the reference month (Rs. in whole number)								
manufacturing activity (NIC-98 codes 01405, 15111 to 37200 and code 18105)								
Item no.	raw materials consumed	ASICC code				unit of quantity (code)	quantity (in whole no.)	value (Rs)
(1)	(2)	(3)				(4)	(5)	(6)
301.								
302.								
303.								
304.								
305.								
306.	other raw materials							
309.	total (items 301 to 306)							
Trading and other activities *								
	commodities purchased or items consumed							
311.								
312.	other items							
319.	total (items 311 + 312)							

* NIC-98 divisions 45 to 74, 80 to 93

CODES FOR BLOCKS 3 AND 4

col. (4): unit of quantity code

no.	01	pair.....	08
kg.....	02	gram.....	09
tonne.....	03	carat.....	10
metre.....	04	Kwh.....	11
sq. metre.....	05	no. in '00'....	12
cu. metre.....	06	no. in '000'...	13
litre.....	07	others	19

[3.1] other operating expenses during the reference month: all activities		
(Rs. in whole number)		
(The overall expenses of the enterprise will be recorded here. If some of the items have already been covered under specific activities in block 3, they should not be reported here again)		
Item no.	item	value (Rs)
(1)	(2)	(3)
321.	electricity charges	
322.	fuel and lubricant	
323.	raw materials consumed for own construction of building, furniture and fixtures (including labour charges)	
324.	minor repair and maintenance of	building
325.		furniture and fixtures
326.		machinery
327.		transport equipment
328.		other fixed assets
331.	rent payable on machinery and equipment (other than land and building)	
332.	service charges for work done by other concerns (contract, sub-contract, legal, audit, advertising and other accounting services, warehousing expenses, commission expenses, etc.)	
333.	travelling, freight and cartage (transport) expenses	
334.	communication expenses (telephone, telegram, fax , postal, courier , e-mail, etc.)	
335.	consumable stores, packing materials, etc.	
336.	paper, printing and stationery expenses	
337.	license fees, cess charged by local bodies, other local rates (excise duties and other indirect taxes are not to be included)	
338.	other expenses	
339.	total (items 321 to 338)	

[4] principal receipts during the reference month (Rs. in whole number)							
manufacturing activity (NIC-98 codes 01405, 15111 to 37200 and code 18105)							
Item no.	products and by-products manufactured	ASICC code			unit of quantity (code)	quantity (in whole no.)	value* (Rs)
(1)	(2)	(3)			(4)	(5)	(6)
401.							
402.							
403.							
404.							
405.							
406.	other products and by-products						
409.	total (items 401 to 406)						
411.	opening stock of semi-finished goods						
412.	closing stock of semi-finished goods						
413.	changes in stock of semi-finished goods (item 412 – item 411) [put (-) sign in case of negative value]						
419.	total (item 409+item 413)						

*gross sale value / gross market value

[4] Principal receipts during the reference month (Rs. in whole number) (continued)		
Trading and other activities*		
item no.	item	value (Rs)
(1)	(2)	(6)
	trading	
421.	commodities sold	
422.	opening stock of trading goods	
423.	closing stock of trading goods	
424.	change in stock of trading goods (item 423-item 422) put (-) sign in case of negative value	
429.	total (item 421+ item 424)	
	Other activities	
431.	receipts from other activities	
439.	total (item 429 + item 431)	

* NIC-98 divisions 45 to 74, 80 to 93)

NB: Cols. (3) to (5) are not applicable for this sub-block.

[4.1] other receipts during the reference month : all activities (Rs. in whole number) (The overall receipts of the enterprise will be recorded here. If some of the items have already been covered under specific activities in block 4, they should not be reported here again.)		
item no.	main items	value (Rs)
(1)	(2)	(3)
441.	receipts from services provided to others including commission charges	
442.	market value of own construction of building, furniture and fixtures	
443.	value of consumption of goods /services produced or traded for own use of the owner or employees (at owner's cost)	
444.	rent receivable on plant & machinery and other fixed assets	
445.	funding / donations received (including recurring govt. grants)	
446.	other receipts	
449.	total (items 441 to 446)	

[5] calculation of gross value added for the reference month (Rs. in whole number)		
Item no.	items	Value (Rs)
(1)	(2)	(3)
501.	total operating expenses: [items (309+319+339)]	
502.	distributive expenses, if any	
503.	total receipts: [items(419+439+449)]	
509.	gross value added (item 503 - item 502 – item 501) <i>[put (-) sign in case of negative value]*</i>	

*in case of negative value added give reasons in blocks 13 / 14 after deep probing.

[6] employment particulars of the enterprise during the reference month						
Serial no.	type of worker	average number of workers				
		full time		part time		total
		female	male	female	male	(cols. 3 to 6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)
1.	working owner					
2.	hired worker					
3.	other worker / helper					
4.	total (1 to 3)					

[7] compensation to workers during the reference month		
serial no.	type of emoluments	value (Rs)
(1)	(2)	(3)
1.	salary / wages, allowances and other individual benefits (cash & kind including bonus, retirement benefits etc. apportioned for the month)	
2.	imputed value of group benefits for the month (including employer's contribution towards canteen, sports, insurance, etc.)	
3.	total emoluments (items 1 and 2)	

[8] fixed assets owned and hired					
serial no.	type of assets	market value of assets (Rs) as on the date of survey		*net additions during last 365 days (Rs)	monthly rent payable on hired assets (Rs)
		owned	hired		
(1)	(2)	(3)	(4)	(5)	(6)
1.	land and building				
2.	plant and machinery				
3.	transport equipment				
4.	tools and other fixed assets				
5.	total (1 to 4)				

*net additions to owned assets to be reported

[9] loan outstanding as on the date of survey (only loans taken for enterprise to be considered)			
Serial no.	source of loan	amount (Rs)	interest payable during the reference month (Rs)
(1)	(2)	(3)	(4)
1.	central and state level term lending institutions, government(central, state, local bodies), banks & societies(public sector, commercial, co-operative)		
2.	other institutional agencies		
3.	money lenders		
4.	business partner(s)		
5.	suppliers / contractors		
6.	friends and relatives		
7.	others		
8.	total (1 to 7)		

[10] factor incomes of the enterprise		
serial no.	item	monthly value (Rs)
(1)	(2)	(3)
1.	emoluments (item 3, col.3 of block 7)	
2.	rent payable (item 1, col.6 of block 8)	
3.	interest payable (item 8, col. 4 of block 9)	
4.	net surplus (including home consumption of raw materials / goods / services produced or traded by the enterprise)	
5.	total (1 to 4)	

[11] investigator's perception		
1.	does the investigator feel that there is any underreporting of net surplus (block 10, item 4)? (yes-1, no-2)	
	if yes in item 1 above, net surplus of the enterprise during the reference month lies between	
2.1	lower value (Rs)	
2.2	higher value (Rs)	

[12] particulars of field operation																			
sl. no.	particulars	investigator						assistant superintendent				superintendent							
(1)	(2)	(3)						(4)				(5)							
1	(i) employee's name (block letters)																		
	(ii) employee's code																		
2	total time taken to canvass Sch. 2.2 (minutes)																		
3	date(s) of	D	D	M	M	Y	Y	D	D	M	M	Y	Y	D	D	M	M	Y	Y
	(i) survey / inspection																		
	(ii) receipt																		
	(iii) scrutiny																		
	(iv) despatch																		
4	signature																		

[13] remarks by investigator

[14] comments by supervisory officer(s)