

<i>RURAL</i>	
<i>URBAN</i>	

*

**GOVERNMENT OF INDIA
NATIONAL SAMPLE SURVEY ORGANISATION
SOCIO-ECONOMIC SURVEY**

<i>CENTRAL</i>	
<i>STATE</i>	

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**FIFTY-NINTH ROUND : JANUARY TO DECEMBER 2003
HOUSEHOLD SCHEDULE 18.2 : DEBT AND INVESTMENT**

VISIT NUMBER – 1

[0] descriptive identification of sample household	
1. state/u.t.:	5. hamlet name:
2. district:	6. ward/inv. unit/block**:
3. tehsil/town* :	7. name of head of household:
4. village name:	8. name of informant:

[1] identification of sample household									
item no.	item	code			item no.	item	code		
1.	sl. number of sample village/ block				11.	FOD sub-region			
2.	round number	5		9	12.	sample hamlet-group/sub-block number			
					13.	second stage stratum			
3.	schedule number	1	8	2	14.	visit number			1
4.	sample (central-1, state-2)				15.	sample household number			
5.	sector (rural-1, urban-2)				16.	sl. no. of informant (as in col.1, block 4)			
6.	state-region				17.	response code			
7.	district				18.	survey code			
8.	stratum number				19.	reason for substitution of original household			
9.	sub-round								
10.	sub-sample								

CODES FOR BLOCK 1

item 17: response code : co-operative & capable-1, co-operative but not capable-2, busy-3, reluctant-4, others-9.

item 18: survey code : household surveyed: original - 1, substitute - 2, casualty - 3.

item 19: reason for substitution of original household : informant busy-1, members away from home-2, informant non-co-operative-3, others-9.

* tick mark (✓) may be put in the appropriate place.

** delete whichever is not applicable.

[2] particulars of field operation												
srl. no.	item	investigator			assistant superintendent			superintendent				
(1)	(2)	(3)			(4)			(5)				
1.	i) name (block letters)											
	ii) code											
2.	date(s) of :	DD	MM	YY	DD	MM	YY	DD	MM	YY		
	(i) survey/ inspection											
	(ii) receipt											
	(iii) scrutiny											
	(iv) despatch											
3.	no. of addl. sheets attached											
4.	total time taken to canvass schedule 18.2 (in minutes)											
5.	signature											

[19] remarks by investigator

[20] remarks by supervisory officer(s)

[3] household characteristics										
1.	household size							9.	Whether any household member has any Kisan credit card (yes-1, no-2)	
2.	principal industry (NIC-1998)	description:				10. If yes in item 9, then amount (Rs.) received during last 365 days				
		code (5-digit)								
3.	principal occupation (NCO-1968)	description:				household consumer expenditure (Rs.) during last 30 days (items 11 to 13)				
		code (3-digit)								
4.	household type (code)							11.	out of purchase	
5.	religion (code)							12.	out of home-grown, home produced stock, free collection and transfer receipts etc	
6.	social group (code)							13.	total (items 11+12)	
7.	whether operated any land for agricultural activities during last 365 days (yes-1, no-2)							14.	household monthly per capita consumer expenditure (Rs. 0.00) (item13 / item1)	
8.	if yes in item 7, area operated by the household (hectares 0.000)									

Codes for Block 3

<p>item 4: household type: <i>for rural areas: self-employed in non-agriculture-1, agricultural labour-2, other labour-3, self-employed in agriculture-4, others-9.</i></p> <p><i>for urban areas: self-employed-1, regular wage/salary earning-2, casual labour-3, others-9.</i></p>	<p>item 5: religion: <i>Hinduism-1, Islam-2, Christianity -3, Sikhism-4, Jainism-5, Buddhism-6, Zoroastrianism-7, others-9</i></p>	<p>item 6 : social group: <i>scheduled tribe-1, scheduled caste-2, other backward class-3, others-9.</i></p>
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[6] buildings and other constructions owned by the household on date of survey and related transactions during 01-07-2002 to date of survey													
srl. no.	item	owned as on the date of survey		value (Rs.) of the transactions during 01-07-2002 to the date of survey								owned as on 30.06.2002	
		floor area (sq. mtrs 0.00)	value (Rs.)	acquisition				disposal				floor area (sq. mtrs 0.00)	value (Rs.) (cols.4+12-8)
				purchased	construction and improvement	otherwise acquired	total	sold	otherwise disposed off	discarded	total		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
1.	residential building												
building used for farm business													
2.	barn (gola)												
3.	animal shed												
4.	farm house												
5.	others												
building used for non-farm business													
6.	workplace/workshop												
7.	shop												
8.	others												
9.	other constructions not covered in items 1 to 8	x										x	
10.	incomplete structures (work-in-progress)	x										x	
11	total (items 1 to 10)	x										x	

1 sq. ft = 0.093 sq. mtr

[7] livestock and poultry owned by the household on the date of survey and related transactions during 01-07-2002 to date of survey (continued)										
srl. no	item		owned as on date of survey		transactions during 01-07-2002 to date of survey				assets owned as on 30-06-2002	
					acquisition		disposal			
			no.	value (Rs.)	no.	value (Rs.)	no.	value (Rs.)	no. (cols. 3+7-5)	value (Rs.) (cols. 4+8-6)
(1)	(2)		(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
21.	buffalo	(iii) buffalo(female) not calved even once								
22.		(iv) others								
23.		(c) males over 3 years (i) male buffalo for work/breeding								
24.		(ii) others								
25.	Sub total (items 1 to 24)									
26.	other large heads*	Elephant								
27.		Horse, mule & pony								
28.		Donkey								
29.		Camel								
30.		Yak & mithun								
31.	Sub total (items 26 to 30)									
32.	ovine, pigs and rabbits*	Sheep								
33.		Goat								
34.		Pig								
35.		Rabbit								
36.	Sub total (items 32 to 35)									
37.	poultry birds	Cock								
38.		Hen								
39.		Chicken								
40.		Duck & duckling								
41.		Other poultry birds*								
42.	Sub total (items 37 to 41)									
43.	others									
44.	total (items 25+31+36 + 42+ 43)									

The acquisition means addition to the stock by way of purchase, birth, gift, otherwise acquired; Disposal means depletion of stock by way of sale, death, loss, gift etc. * including young stock.

[8] agricultural machinery and implement owned by the household as on the date of survey and related transactions during 01-07-2002 to date of survey									
srl. no	Item	owned as on date of survey		transactions during 01-07-2002 to date of survey				assets owned as on 30-06-2002	
				acquisition		disposal			
		number	value (Rs.)	number	value (Rs.)	number	value (Rs.)	number (cols. 3+7-5)	value (Rs.) (cols. 4+8-6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1.	sickle, axe, spade & chopper								
2.	plough (wooden / iron)								
3.	harrow, seed-drill, sprayer & duster, chaff-cutter								
4.	power tiller								
5.	tractor (excluding trolley)								
6.	thresher								
7.	combined harvester								
8.	canecrusher- power operated								
9.	canecrusher- others								
10.	oil crusher-power operated								
11.	oil crusher- others								
12.	pump-electric								
13.	pump- others								
14.	other water lifting equipment (viz. persian wheel, dhenki, etc.)	X		X		X		X	
15.	furniture and fixtures	X		X		X		X	
16.	others	X		X		X		X	
17.	total (items 1 to 16)	X		X		X		X	

Note: This block is only applicable for those households in which household member(s) own one or more of the above items.

[9] non-farm business equipment owned by the household as on date of survey and related transactions during 01-07-2002 to date of survey									
srl. no	item	owned as on date of survey		transactions during 01-07-2002 to date of survey				owned as on 30-06-2002	
		no.	value (Rs.)	acquisition		disposal		no.	value (Rs.)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	machinery, tools & appliances								
1.	handloom, semi-automatic and power looms								
2.	ginning, pressing and balling equipment								
3.	reeds, bobbins and other accessories used in spinning and weaving and tailoring equipments (viz. sewing machine etc.)								
4.	mills (viz. ghanies, oil-mills (power-driven), rice-milling and pounding equipment, flour-milling and grinding equipment)								
5.	electric motors, oil engines, generators, pumpsets								
6.	casting, melting and welding equipments, furnace, bellows and other equipments used in smithy shop								
7.	saws (manually operated)								
8.	saws (power-driven)								
9.	musical instruments								
10.	Kilns								
11.	potter's wheels, scales, weights and measures								
12.	xerox machine, printing press, personal computer, duplicating machine, fax machine								
13.	ISD/STD/PCO equipments								
14.	X- ray machine, other medical equipments								
15.	Ultrasound equipments								
16.	lathes, other machinery tools & appliances								
17.	intangible assets like software, artistic originals, manuscripts etc.								
18.	total machinery tools & appliances (items 1-17)	X		X		X		X	
19.	furniture & fixtures	X		X		X		X	
20.	other non-farm business equipment	X		X		X		X	
21.	total (items 18+19+20)	X		X		X		X	

Note: This block is only applicable for those households in which household member(s) own one or more of the above items of non-farm business household enterprise. Information is to be collected irrespective of the enterprise operating from dwelling house or not.

[10] transport equipment owned by the household as on the date of survey and related transactions during 01-07-2002 to date of survey										
srl. no	item	owned as on date of survey		transactions during 01-07-2002 to date of survey				owned as on 30-06-2002		main use of transport equipment owned on 30.6.02 (code)
		no.	value (Rs.)	acquisition		disposal		no. (cols. 3+7-5)	value (Rs.) (cols 4+8-6)	
				no.	value (Rs.)	no.	value (Rs.)			
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
1.	carts (hand-driven / animal driven)									
2.	bicycles									
3.	rickshaws									
4.	motor cycles/ scooters/ mopeds/ auto-rickshaws									
5.	motor cars/jeep/van									
6.	trucks/light comm. vehicles (LCV)/ passenger buses									
7.	tractor-trollies/ trailers/jugads									
8.	boats									
9.	other transport equipment	x		x		x		x		
10.	total (items 1 to 9)	x		x		x		x		

Code for Block 10:

col. 11 : main use of transport equipment : for farm business -1; for non-farm business-2; for household use-3

[11] durable assets owned by the household as on the date of survey and related transactions during 01-07-2002 to the date of survey									
srl. no	item	owned as on date of survey		transactions during 01-07-2002 to date of survey				owned as on 30-06-2002	
				acquisition		disposal			
		no.	value (Rs.)	no.	value (Rs.)	no.	value (Rs.)	no. (cols.3+7-5)	value (Rs.) (cols. 4+8-6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1.	bedstead								
2.	steel / wooden almirah / dressing table								
3.	other furniture & fixtures	x		x		x		x	
4.	radio, record player/tape recorder/stereo/ musical instruments for household use								
5.	television, VCR/VCP/VCD, DVD Player, home theatre, multimedia PC								
6.	other goods for recreation , entertainment and hobby	x		x		x		x	
7.	pressure cooker/ household utensils								
8.	gas/electric oven/cooking range/ microwave oven								
9.	electric fan, clock/ watch, water filter / electric iron/ sewing machine								
10.	refrigerator/ air cooler/ air conditioner/ washing machine								
11.	other cooking and household appliances	x		x		x		x	
12.	therapeutic appliances and other personal goods								
13.	bullions & ornaments	x		x		x		x	
14.	other durables	x		x		x		x	
15.	total (items 1 to 14)	x		x		x		x	

[12] shares & debentures owned by the household in co operative societies & companies as on the date of survey and related transactions during 01-07-2002 to date of survey					
srl. no.	type of institution	value as on the date of survey (Rs)	value of transactions during 01-07-2002 to date of survey		value as on 30-06-2002 (Rs.) (cols. 3+5-4)
			acquisition (Rs.)	disposal (Rs.)	
(1)	(2)	(3)	(4)	(5)	(6)
1.	co-operative credit society/ bank				
2.	co-operative non credit society				
3.	commercial bank				
4.	financial company				
5.	non-financial company				
6.	mutual funds				
7.	others				
8.	total (items 1 to7)				

[13] financial assets other than shares & debentures owned by the household as on the date of survey and related transactions during 01-07-2002 to the date of survey							
srl. no.	item	value as on the date of survey (Rs)		value of transactions during 01-07-2002 to date of survey		value as on 30-06-2002 (Rs.) (cols. 4+6-5)	
		sign*	value	acquisition (Rs.)	disposal (Rs.)	sign*	value
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1.	government certificates viz. NSC , Indira vikas patra, kisan vikas patra, RBI Bonds etc.						
2.	deposit in post office including national saving scheme deposits						
3.	deposit in co-operative society/bank						
4.	deposit in commercial bank						
5.	deposit in non-banking company						
6.	chit contribution made						
7.	deposit with individuals						
8.	insurance premium						
9.	annuity certificates						
10.	provident fund						
11.	cash in hand			x	x		x
12.	other financial assets						
13.	net amount (items 1 to 12)						

* :If value in col. (4)/ col. (8) is negative, enter 1 in col. (3) / col. (7) and enter the absolute value in col. (4)/ col. (8). This is possible in some cases of item 6 when amount is withdrawn. Item 13 will be derived by considering the sign also.

[14] cash loans and kind loans receivable by household against different securities/heads on the date of survey and related transactions during 01-07-2002 to date of survey					
srl. no	securities/heads	amount receivable as on date of survey (Rs.)	value of transactions during 01-07-2002 to date of survey		amount receivable as on 30-06-2002 (cols. 3+5-4) (Rs)
			lent out (Rs.)	received as repayment (Rs.)	
(1)	(2)	(3)	(4)	(5)	(6)
1.	promissary note				
2.	mortgage of real estate				
3.	pledge of bullion & ornaments/ other moveable property				
4.	unsecured loan				
5.	professional dues, trade credit				
6.	kind loans				
7.	others				
8.	total (items 1 to 7)				

[15.1] number of cash loans of the household outstanding as on date of survey and number of loans fully repaid/ written off during 01.07.2002 to date of survey								
whether any cash loan outstanding on the date of survey (yes – 1, no – 2))	if yes in col 1, number of cash loans outstanding to the		was any cash loan repaid fully during 1.07.2002 to the date of survey (yes – 1, no – 2)	if yes in col. 4, number of cash loans repaid during 01.07.2002 to date of survey		was any cash loan written off during 1.07.2002 to date of survey (yes – 1, no – 2)	if yes in col. 7, number of cash loans written off by	
	institutional agency	non-institutional agency		institutional agency	non-institutional agency		institutional agency	non-institutional agency
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)

Note: institutional agencies are government, co-operative society/bank, commercial bank including regional rural bank, insurance, provident fund, financial corporation/institution, financial company and other institutional agencies

[15.2] particulars of cash loans payable by the household to institutional/ non-institutional agencies as on the date of survey and transactions of loans during 01-07-2002 to date of survey																							
srl. no. of loan	date of borrowing		borrowings										total amount (Rs) repaid during			total amount (Rs) written off during			interest accrued* during 01-07-2002 to date of survey	amount (Rs) outstanding as on date of survey			total amount (Rs) outstanding as on 30.06.02* [cols. 14 to 19 + 23-20]
	month	year	period of loan (code)	amount borrowed (Rs)	credit agency (code)	scheme of lending (code)	type of loan (code)	nature of interest (code)	rate of interest (p.c.)	purpose of loan (code)	type of security (code)	type of mortgage (code)	01.07.2002 to 30.09.2002	01.10.2002 to 31.12.2002	01.01.2003 to date of survey	01.07.2002 to 30.09.2002	01.10.2002 to 31.12.2002	01.01.2003 to date of survey		principal	interest	total (cols. 21 + 22)	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(23)	(24)
A. institutional agencies																							
B. non-institutional agencies																							

*cols. (20) and (24) will be filled in for period of loan code-1 only

[16] kind loans and other liabilities payable by the household as on the date of survey					
srl. no	nature of liability (cash-1, kind-2)	period (code)	source (code)	purpose (code)	amount outstanding as on the date of survey (Rs)
(1)	(2)	(3)	(4)	(5)	(6)

Codes for Block 15.2:

<p>col 4: period of loan : loan remained unpaid on 30.06.2002 – 1, loan taken during 01.07.2002 to 30.09.2002 -2, loan taken during 01.10.2002 to 31.12.2002 – 3, loan taken during 01.01.2003 to date of survey – 4</p> <p>col. 6 : credit agencies : government -01, co-operative society/bank -02, commercial bank including regional rural bank -03, insurance -04, provident fund –05, financial corporation/institution–06, financial company—07, other institutional agencies -08, landlord -09, agricultural money lender - 10, professional money lender -11, trader -12, relatives and friends -13, doctors, lawyers and other professional s - 14, others- 99</p>	<p>col 7: scheme of lending: Differential Rate of Interest (DRI) scheme – 1, Prime Minister’s Rozgar Yojana (PMRY) – 2, Swarnjayanti Gramin Swarozagar Yojana(SGSY) – 3, Swarna Jayanti Sahari Rozgar Yojana(SJSRY) – 4, advances to minority communities – 5, scheme for liberalization and rehabilitation of scavengers – 6, exclusive state schemes –7, other schemes – 8, not covered under any scheme – 9.</p> <p>col 8 : type of loans : short-term-pledged -1, short term-non-pledged -2, medium term -3, long term -4</p>	<p>col. 9 : nature of interest : interest free -1, simple -2, compound -3, concessional rate -4</p> <p>col 11: purpose of loan : capital expenditure in farm business -1, current expenditure in farm business- 2, capital expenditure in non- farm business -3, , current expenditure in non- farm business-4, household expenditure --5, expenditure on litigation-6, repayment of debt-7, financial investment expenditure-8, others-9</p>
<p>col 12 : type of security: personal security -01, sure security or guarantee by third party -02, crop -03, first charge on immovable property -04, mortgage of immovable property -05, bullion/ornaments -06, share of companies, govt. securities and insurance policies etc. – 07, agricultural commodities -08, movable property other than bullion, ornaments, shares, agricultural commodities etc. – 09, other type of security – 10</p> <p>col 13: type of mortgage: simple mortgage -1, usufructuary mortgage -2, mortgage by conditional sale -03, other type of mortgage -04, no mortgage - 5</p>		

Codes for Block 16:

<p>col 3 : period : less than 1 month.-1, 1 month and above but less than 3 months-2, 3 months and above but less than 6 months-3, 6 months & above but less than 1 year-4, one year & above-5</p>	<p>col 4: source : trader –1, relatives & friends -2, doctor, lawyers and other professionals– 3, others -9</p>	<p>col 5 : purpose : current expenditure in farm business - 1, current expenditure in non farm business -2, other household expenditure -3, other expenditure -9</p>
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[17.1] specified items on which household incurred expenditure during 01-07-2002 to 31-12-2002			
item code	item description	whether incurred any expenditure for	
		purchase(new), construction, addition, major repairs & alterations, improvement (yes -1, no-2)	normal repairs & maintenance (yes -1, no-2)
(1)	(2)	(3)	(4)
A. residential plots & buildings			
101	purchase of plots		x
102	improvement of plots		x
103	purchase of houses, buildings or other residential constructions		x
104	construction of houses, buildings or other residential constructions		x
105	addition/ major rep. & alter./ improv./normal repair of houses, buildings or other constructions		
B. farm business			
201	purchase of land		x
202	purchase of land rights		x
203	bunds and other land improvement works		
204	reclamation of land		
205	orchard & plantations		
206	purchase of farm houses, barns & animals sheds		x
207	construction of farm houses, barns & animals sheds		x
208	addition/ major rep. & alter./ improv./normal repair of farm houses, barns & animals sheds		
209	wells		
210	other irrigation resources		
211	other constructions in the farm business		
212	livestock used as fixed asset		
213	purchase of agricultural machinery & implements		x
214	addition/ major rep. & alter./ improv./normal repair of agricultural machinery & implements		
215	purchase of transport equipments		x
216	addition/ major rep. & alter./ improv./normal repair of transport equipments		
217	furniture & fixtures		
218	other expenditure on farm business		
C. non-farm business			
301	purchase of land		x
302	improvement of land		x
303	purchase of workshop, shop		x
304	construction of workshop, shop		x
305	addition/ major rep. & alter./ improv./normal repair of workshop, shop		
306	other constructions in the non-farm business		x
307	purchase of non-farm business equipment & accessories		x
308	addition/ major rep. & alter./ improv./normal repair of non-farm business equip. & accessories		
309	purchase of transport equipment		x
310	addition/ major rep. & alter./ improv./normal repair of transport equipments		
311	furniture & fixtures		
312	other expenditure on non- farm business		

Note: all purchases of plot/ land made by the household will be recorded in this block.

[17.2] expenditure incurred by the household on specified items during 01.07.2002 to 31.12.2002											
item code	item description	purpose of expenditure (code)	exp. in cash (Rs.)		exp. in kind (Rs.)		value of assets and materials used out of home-produced stock (Rs.)	value of work done by household members (Rs.)	total (cols.4 to 9) (Rs.)	amount financed from borrowings (Rs.)	
			purchase of assets and materials	payment to hired labour	purchase of assets and materials	payment to hired labour				institutional	non-institutional
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
							-				

Codes for Block 17.2

col 1: item code: as given in col 1 of Block 17.1

col 3: purpose of expenditure :
 purchase (new) (incl. purchase of land rights etc.) -1, additions (incl. reclamation of land / construction) -2, major repairs & alterations (including renewal & replacement) -3, improvement (incl. bunding and other land improvements/normal annual replanting in case of orchard and plantations) -4, normal repairs & maintenance -5

[18] Particulars of sale and loss of assets during 01-07-2002 to 31-12-2002					
srl. no.	item description	amount received from sale (Rs)	value (Rs.) of loss		salvage value of discarded assets (Rs.)
			by natural calamities etc.	due to other reasons	
(1)	(2)	(3)	(4)	(5)	(6)
A. residential plots & buildings					
1.	plots				
2.	houses, buildings and other constructions				
B. assets of farm business					
3.	land				
4.	bunds and other land improvement works				
5.	farm houses, barns, animal shed and other constructions				
6.	wells & other irrigation sources				
7.	orchard & plantations				
8.	livestock used as fixed asset				
9.	agricultural machinery and implements				
10.	transport equipments				
11.	furniture and fixtures				
12.	other farm business assets				
C. assets of non-farm business					
13.	land				
14.	workshop, shop & other constructions				
15.	non-farm business equipment & accessories				
16.	transport equipments				
17.	furniture & fixture				
18.	other non-farm business assets				
D. other assets of the household					
19.	livestock & poultry kept as inventory				
20.	financial assets				
21.	transport equipment for household use				
22.	bullion/ornaments				
23.	household durable goods				
24.	total (items 1 to 23)				