RURAL		*
URBAN		

GOVERNMENT OF INDIA
NATIONAL SAMPLE SURVEY OFFICE
SOCIO-ECONOMIC SURVEY
SEVENTY THIRD ROUND: JULY 2015 – JUNE 2016
SCHEDULE 0.0: LIST OF HOUSEHOLDS AND NON-AGRICULTURAL ENTERPRISES

CENTRAL		*
STATE		

[0] descriptive identification of sample village/EB/UFS block	
1. state/ u.t.:	4. village/ town name:
2. district:	5. ward/ investigator unit:
3. sub-district:	6. enumeration block(EB)/UFS block:

[1] identification of sample village/EB/UFS block

item no.	item	code			item no.	item	code			
1.	serial number of sample FSU				11.	sub-sample				
2.	round number	7		3	12.	FOD sub-region				
3.	schedule number	0		0	13.	frame code				
4.	sample (central-1, state-2)				14.	frame population (rural only)				
5.	sector (rural-1, urban-2)				15.	selection size				
6.	NSS region				16.	approximate present population				
7.	district				17.	approximate no. of non-agricultural enterprises (without segment 9 enterprises)				
8.	stratum				18.	total number of hgøs/sbøs formed (D)				
9.	sub-stratum				19.	survey code				
10.	sub-round				20.	reason code for substitution of original sample (for codes 4 ó 7 in item 19)				

items 1, 4 ó 11 and 13 ó 15 to be copied from the sample list

CODES FOR BLOCK 1

item 13: **frame code**: rural/urban: 2011 census ó 16
                          urban: 2007-12 UFS ó 15, 2012-17 UFS ó 17

item 19: **survey code**: *selected village/EB/UFS block surveyed*:
                          inhabited ó 1, uninhabited ó 2, zero case ó 3

selected village/EB/UFS block casualty but substitute village/EB/UFS block surveyed:
                          inhabited ó 4, uninhabited ó 5, zero case ó 6

selected village/EB/UFS block casualty and no substitute surveyed ó 7

item 20: **reason code for substitution of original sample**:
                          sample village/EB/UFS block: not identifiable/ traceable ó 1
                          not accessible ó 2
                          restricted area (not permitted to survey) ó 3
                          others (specify) ó 9

* tick mark (✓) may be put in the appropriate place

[2] particulars of field operations		field investigator (FI) / junior statistical officer (JSO)				field officer (FO)/ senior statistical officer (SSO)			
srl. no.	item								
(1)	(2)	(3)				(4)			
1(a).	(i) name (block letters)								
	(ii) code								
	(iii) signature								
1(b).	(i) name (block letters)								
	(ii) code								
	(iii) signature								
2.	**Date(s) of**:	DD	MM	YY		DD	MM	YY	
	(i) commencement of survey/ inspection								
	(ii) completion of survey / inspection								
	(iii) receipt								
	(iv) scrutiny								
	(v) despatch								
3.	number of additional sheet(s) attached								
4.	total time taken to canvass the schedule by the team of investigators (FI / JSO) (in hours) [no decimal point]								
5.	number of investigators (FI / JSO) in the team								
6.	whether any remark has been entered by FI/JSO/ supervisory officer (yes-1, no-2) — (i) in block 8/9								
	(ii) elsewhere in the schedule								

[8] remarks by investigators (FI/JSO)

[9] comments by supervisory officer(s)

[3] sketch map of hamlet-group (hg)/sub-block (sb) formation

[4.1] list of hamlets (only for rural samples with hamlet-group (hg) formation)					[4.2] list and selection of hamlet-groups (hg's)/sub-blocks (sb's) D = R =								
srl. no.	name of hamlet	% of pop/ NAE$	enterprises under survey coverage		srl. no. of hg/sb	serial no. of hamlets in the hg (rural only)	% of pop/ NAE$ in the hg/sb	enterprises under survey coverage			sampling serial no. of the hg/sb *	order of selec- tion @	seg no. **
			approx no. of estt.	availa- bility of OAE (yes-1, no-0)				approx. no. of estt. in the hg/sb	count of hamlets with OAE in the hg (rural)	availabi- lity of OAE in the sb (urban) (yes-1, no-0)			
(1)	(2)	(3)	(4)	(5)	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	total	100	X	X		total	100	X	X	X	X	X	X

$ strike out which is not applicable

* ₋0₋ for hg/sb with maximum number of non-agricultural establishments under survey coverage, failing which, with maximum number of hamlets with own account non-agricultural enterprises under survey coverage within hg (for rural FSUs) or the sb having at least one own account non-agricultural enterprise under survey coverage (for urban FSUs), failing which, with maximum percentage share of population.

@ ₋0₋ for hg/sb with '0' in col (7)

** 1 for order of selection '0' and 2 for order of selection for both ₋1₋ and ₋2₋ in col (8)

[6a] particulars of enterprises in segment 9

segment number	enterprise type	second stage stratum (SSS)	number of enterprises					casualty [col 5-col.8]
			in the frame (E)	selected (e)	surveyed			
					originally selected	substituted	total	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
9	Establishment (2)	1						
		2						
		3						
		4						
		5						
		6						
		7						
		8						
		9						
		10						
		11						
		12						
		13						
	OAE (1)	14						
		15						
		16						
	all							

segment number*	enterprise type	second stage stratum (SSS)	number of enterprises					
			in the frame (E)	selected (e)	surveyed			casualty [col 5- col.8]
					originally selected	substituted	total [col.6+ col.7]	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	Establishment (2)	1						
		2						
		3						
		4						
		5						
		6						
		7						
		8						
		9						
		10						
		11						
		12						
		13						
	OAE (1)	14						
		15						
		16						
	all							
2	Establishment (2)	1						
		2						
		3						
		4						
		5						
		6						
		7						
		8						
		9						
		10						
		11						
		12						
		13						
	OAE (1)	14						
		15						
		16						
	all							

[6b] particulars of sampling of enterprises (for segments 1 & 2)

* if no hg/sb is formed, entries may be made against segment number 1

						for 1 – 3 in col. (5)			for 1 in col. (9)	number of workers			
							for 1 & 5 in col. (6)						
								for 2 in col. (7)					
house number	household serial number	name and address of enterprise/ owner/ operator/ head of household	description of activity	broad activity category code	type of ownership code	registration code	NIC 2008 code 2/ 3/ 4/ 5 digits@	eligibility code	eligible enterprises serial number	total	hired	enterprise type code	SSS number (either of 1 to 16)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)

[7] list of non-agricultural enterprises having 20 or more workers in the sample village/EB/UFS block (segment 9)*

* listing of segment 9 enterprises to be done for the entire sample village/EB/UFS block

CODES FOR BLOCK 7

col.(5): **broad activity category code**:

        manufacturingí í í í í í   1
        tradeí í í í í í í í ... 2
        other servicesí í í í í . 3
        remainingí í í í í í ... 4

col.(6): **type of ownership code**:

        proprietary/partnershipí í í   1
        Govt./PSUí . 2
        limited companies í í í í í í í í í í í í í í í í .. 3
        co-operative societyí í í í í í í í í í í í í í í í .. 4
        othersí 5

col.(7): **registration code:** registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948 or beedi and cigar workers (conditions of employment) Act, 1966 or Limited Liability Partnership (LLP) Act, 2008 or with the Central Electricity Authority: yes ó 1, no ó 2

@ col.(8): **NIC –2008 code:**

2 digits for 11 ó 33, 37 ó 39, 45 ó 47, 50, 52 ó 55, 58 ó 63, 69 ó 75, 78 ó 82, 85 ó 93, 96;

3 digits for 101, 102, 103, 104, 105, 106, 107, 108, 561, 562, 563, 663, 681, 682, 771, 772, 773, 941, 952;

4 digits for 4922, 4923, 6491, 6499, 6612, 6619, 6621, 9491 (individuals), 9499, 9511, 9512;

5 digits for 01632, 35103, 35105, 35106, 35107, 35109, 49211, 49219, 64193 (chit funds), 64300, 64309 (self help groups), 64920, 64921 (investment clubs), 64929 (activities of private moneylenders).

col.(9): **eligibility code:**

        operated for at least 30 days (15 days for seasonal enterprises and SHG) during last 365 days ó 1
        otherwise ó 2

col.(13): **enterprise type code:**

        OAE í í í í í í í 1
        Establishment í í .í 2

col.(14): **SSS number:**

enterprise type	broad activity category	SSS	NIC - 2008
establishments	manufacturing	1	101, 102, 103, 104, 105, 107, 11
		2	01632, 13 ó 18, 31
		3	106, 108, 12, 19 ó 30, 32, 33, 35103, 35105, 35106, 35107, 35109
	trade	4	45
		5	46
		6	47
	other services	7	55, 562
		8	49211, 49219, 4922, 4923, 50, 52, 79
		9	64193 (chit funds), 643, 64309 (self help groups) , 6491, 6492, 64921 (investment clubs), 64929 (activities of private moneylenders), 6499, 6612, 6619, 6621, 663
		10	53,58 ó 62, 631, 639, 681, 69 ó 74, 771, 772, 773, 78, 80 ó 82, 9511
		11	85
		12	75, 86 ó 88, 941, 9491, 9499
		13	37 ó 39, 561, 563, 682, 90 ó 93, 9512, 952, 96
OAEs	manufacturing	14	01632, 10ó33, 35103, 35105, 35106, 35107, 35109
	trade	15	45, 46, 47
	other services	16	37 ó 39, 49211, 49219, 4922, 4923, 50, 52 ó 63, 643, 64193, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 ó 75, 771, 772, 773,78 ó 82, 85 ó 93, 941, 949, 95, 96

Worksheet – Compensation for Schedule 2.34 (without hg/sb formation)

Compensation for shortfall of manufacturing enterprises						
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 3	e
1	1	2				
	2	2				
	3	2				
	14	2				
	total	8				
shortfall						
maximum allocation: (i) SSS 1ó 3 = 6 (ii) SSS14 = 4 (iii) total = 8						

Compensation for shortfall of trading enterprises						
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 3	e
1	4	2				
	5	2				
	6	2				
	15	2				
	total	8				
shortfall						
maximum allocation: (i) SSS 4 ó 6 = 6 (ii) SSS15 = 4 (iii) total = 8						

Compensation for shortfall of other service sector enterprises						
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 3	e
1	7	2				
	8	2				
	9	2				
	10	2				
	11	2				
	12	2				
	13	2				
	16	2				
	total	16				
shortfall						
maximum allocation: (i) SSS 7 ó 13 = 14 (ii) SSS16 = 4 (iii) total = 16						

Worksheet – Compensation for Schedule 2.34 (with hg/sb formation)

segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
		Compensation for shortfall of manufacturing enterprises						
1	1	1						
	2	1						
	3	1						
	14	1						
	total	4						
2	1	1						
	2	1						
	3	1						
	14	1						
	total	4						
1+2	1-3	6						
	14	2						
	total	8						
shortfall								
maximum allocation: (i) SSS 1 ó 3 = 6 (ii) SSS14 = 4 (iii) total = 8								

segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
		Compensation for shortfall of trading enterprises						
1	4	1						
	5	1						
	6	1						
	15	1						
	total	4						
2	4	1						
	5	1						
	6	1						
	15	1						
	total	4						
1+2	4-6	6						
	15	2						
	total	8						
shortfall								
maximum allocation: (i) SSS 4 ó 6 = 6 (ii) SSS15 = 4 (iii) total = 8								

Compensation for shortfall of other service sector enterprises								
segment no.	SSS	no. of enterprises to be surveyed	E	Step 1	Step 2	Step1 + Step2	Step 3	e
1	7	1						
	8	1						
	9	1						
	10	1						
	11	1						
	12	1						
	13	1						
	16	1						
	total	8						
2	7	1						
	8	1						
	9	1						
	10	1						
	11	1						
	12	1						
	13	1						
	16	1						
	total	8						
1+2	7-13	14						
	16	2						
	total	16						
shortfall								
maximum allocation: (i) SSS 7 ó 13 = 14 (ii) SSS16 = 4 (iii) total = 16								

SSS no.	NIC 2008 codes	description
		Establishments
		Manufacturing
1	101, 102, 103, 104, 105, 107, 11	Food products and beverages
2	01632, 13, 14, 15, 16, 17, 18, 31	Cotton ginning, cleaning and bailing, textiles, wearing apparel, leather and leather products, wood and wood products, furniture, paper and paper products, printing, etc.
3	106, 108, 12, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 32, 33, 35103, 35105, 35106, 35107, 35109	Grain mill products, prepared animal feeds, tobacco, petroleum, chemical and chemical products, pharmaceuticals, rubber, plastic, motor vehicle, transport equipments, electric power generation and other manufacturing activities
		Trade
4	45	Wholesale and retail trade and repair of motor vehicles and motorcycles
5	46	Other wholesale trade
6	47	Other retail trade
		Other services
7	55 , 562	Accommodation, Event catering and other food service activities
8	49211, 49219, 4922, 4923, 50, 52, 79	Transport, supporting and auxiliary transport activities, travel agency, tour operators etc.
9	64193 (chit funds), 643, 64309 (self help groups), 6491, 6492, 64921 (investment clubs), 64929 (activities of private moneylenders), 6499, 6612, 6619, 6621, 663	Financial service activities, except insurance and pension funding and other financial activities
10	53, 58 ó 62, 631, 639, 681, 69, 70, 71, 72, 73, 74, 771, 772, 773, 78, 80, 81, 82, 9511	Postal, courier, software publishing, information service and communication
11	85	Education
12	75, 86, 87, 88, 941, 9491 (organizations), 9499	Veterinary, human health, residential care, social work activities and membership organizations
13	37, 38, 39, 561, 563, 682, 90, 91, 92, 93, 9512, 952, 96	Other services activities
		OAEs
14	01632, 10ó33, 35103, 35105, 35106, 35107, 35109	Manufacturing
15	45, 46, 47	Trade
16	37 ó 39, 49211, 49219, 4922, 4923, 50, 52 ó 63, 64193, 643, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 ó 75, 771, 772, 773,78 ó 82, 85 ó 93, 941, 949, 95, 96	Other Services

[5a] list of households and non-agricultural enterprises (segment 1/ 2)*

house number	household serial number	name and address of enterprise/ owner/ operator/ head of household	description of activity	broad activity category code	type of ownership code	registration code	NIC 2008 code 2/ 3/ 4/ 5 digits@	eligibility code	eligible enterprise serial number	total	hired	enterprise type code	SSS number (either of 1 to 16)
						for **1 – 3 in col. 5**			for **1 in col. 9**	number of workers			
						for **1 & 5 in col. (6)**							
							for **2 in col. (7)**						
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)

col.(14): SSS number:
ESTABLISHMENTS: **for code 2 in col. 13:**
SSS 1: NIC 101, 102, 103, 104, 105, 107, 11;
SSS 2: NIC 01632, 13 ó 18, 31;
SSS 3: NIC 106, 108, 12, 19 ó 30, 32, 33, 35103, 35105, 35106, 35107, 35109;
SSS 4: NIC 45;
SSS 5: NIC 46;
SSS 6: NIC 47;
SSS 7: NIC 55, 562;
SSS 8: NIC 49211, 49219, 4922, 4923, 50, 52, 79;
SSS 9: NIC 643, 64193, 64309, 6491, 6492, 64921, 64929, 6499, 6612, 6619, 6621, 663;
SSS 10: NIC 53,58 ó 62, 631, 639, 681, 69 ó 74, 771, 772, 773, 78, 80 ó 82, 9511;
SSS 11: NIC 85;
SSS 12: NIC 75, 86 ó 88, 941, 9491, 9499;
SSS 13: NIC 37 ó 39, 561, 563, 682, 90 ó 93, 9512, 952, 96;

OAEs: **for code 1 in col. 13:**
SSS 14: NIC 01632, 10 ó 33, 35103, 35105, 35106, 35107, 35109;
SSS 15: NIC 45 ó 47;
SSS 16: NIC 37 ó 39, 49211, 49219, 4922, 4923, 50, 52 ó 63, 643, 64193, 64309, 6491, 64920, 64921, 64929, 6499, 6612, 6619, 6621, 663, 68, 69, 70 ó 75, 771, 772, 773,78 ó 82, 85 ó 93, 941, 949, 95, 96.

* strike out which is not applicable

CODES FOR BLOCK 5a

col.(5): **broad activity category code**: manufacturing ó 1, trade ó 2, other services ó 3, remaining ó 4

col.(6): **type of ownership code**: proprietary/partnership ó 1, Govt./ PSU ó 2, limited companies ó 3, co-operative society ó 4, others ó 5

col.(7): **registration code:** registered under Sections 2m(i) and 2m(ii) of the Factories Act, 1948 or beedi and cigar workers (conditions of employment) Act, 1966 or Limited Liability Partnership (LLP) Act, 2008 or with the Central Electricity Authority: yes ó 1, no ó 2.

@ col. (8): **NIC –2008 code**: **2 digits** for 11 ó 33, 37 ó 39, 45 ó 47, 50, 52 ó 55, 58 ó 63, 69 ó 75, 78 ó 82, 85 ó 93, 96;

3 digits for 101, 102, 103, 104, 105, 106, 107, 108, 561, 562, 563, 663, 681, 682, 771, 772, 773, 941, 952;

4 digits for 4922, 4923, 6491, 6499, 6612, 6619, 6621, 9491 (individuals), 9499, 9511, 9512;

5 digits for 01632, 35103, 35105, 35106, 35107, 35109, 49211, 49219, 64193 (chit funds), 64300, 64309 (self help groups), 64920, 64921 (investment clubs), 64929 (activities of private moneylenders).

col.(9): **eligibility code**: operated for at least 30 days (15 days for seasonal enterprises and SHG) during last 365 days ó 1, otherwise ó 2.
col.(13): **enterprise type code**: OAE ó 1, Establishment ó 2

[5b] selection of non-agricultural enterprises under coverage (segment 1/ 2)*

house number (to be copied from col. 1, bl 5a)	eligible enterprise serial no. (to be copied from col. 10, bl 5a)	SSS number (to be copied from col. 14, bl 5a)	schedule 2.34 sampling serial number and sample enterprise number															
			establishments													OAEs		
			manufacturing			trade			other services							manuf.	trade	other services
			SSS			SSS			SSS							SSS		
			1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
			E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=
			e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)

* strike out which is not applicable; random numbers are to be recorded in the worksheet provided at the end of this schedule

worksheet for recording of random numbers for selection of sample enterprises in block 5b

schedule 2.34

R: random numbers selected for cols. (4) ó (19)

establishments													OAEs		
manufacturing			trade			other services							manuf.	trade	other services
SSS			SSS			SSS								SSS	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=	E=
e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=	e=
(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)